



# Compliance Consultants Partner Program

As a Compliance Consultant, your role can include assisting new firms with structuring their RIA practice and navigating through the extensive registration process. In addition, you bare the responsibility of assisting your clients in keeping pace with the rapidly changing compliance environment. In either scenario, a solid professional liability insurance program is important to your clients to protect the business they have worked so hard to build. Partnering with NAPLIA will provide you the peace of mind that you are providing your clients with a professional resource that may prove invaluable down the road.

## Why Partner with NAPLIA?

- **The quality of a referral will reflect back on you.**
  - When you provide your clients with a professional referral, you want the confidence that you are providing them the highest quality connection you can offer. NAPLIA is unique in an ever changing industry. We are an independent agency that represents the best interest of our clients. We have significant experience in providing professional liability insurance to the financial and accounting industries.
- **Exclusive Premium Discounts**
  - NAPLIA has negotiated exclusive premium discounts to clients who engage Compliance Consultants who are part of our *Preferred Compliance Partner Program*.
- **Who we are.**
  - Independent agency licensed in all 50 states
  - Preferred insurance provider/partner for:
    - Fi360 ([www.fi360.com](http://www.fi360.com))
    - Center for Due Diligence / CFDD ([www.thecfdd.com](http://www.thecfdd.com))
    - Center for Fiduciary Excellence / CEFEX ([www.cefex.com](http://www.cefex.com))
  - Named to 2007 Entrepreneur's Hot 500 – fastest growing businesses in America
  - Named to 2008 Inc 5000 – America's fastest growing private companies
- **Educational Marketing**
  - Our philosophy is simple, we provide our clients with as much education as we can so they can make informed decisions. We provide the information so firm's can make the best choice.
- **Service / Knowledge / Experience**
  - Service has too often become a cliché, but contact NAPLIA and you will speak directly with an individual who understands your business and can provide you the answers that you need.

## What's in it for you?

- NAPLIA is a leading provider of Professional Liability Insurance in the Financial and Accounting Industry.
  - We insure over 10,000 accounting professionals and over 60% of our firms maintain a separate entity providing investment services. Many of these firms are converting these entities into Registered Investment Advisers and need the assistance of a Compliance Consultant.
  - Our goal is to develop relationships with quality Compliance Consultants to whom we may directly refer our clients and prospects.
  - The value of a quality relationship is immeasurable and we truly want to assist you in your success.

### NORTH AMERICAN PROFESSIONAL LIABILITY INSURANCE AGENCY, LLC

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